



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Derek E. Poppink and Jonathan J. Hull

APPLICATION NO.: 10/046,447

FILING DATE: October 29, 2001

TITLE: ASYNCHRONOUS UNCONSCIOUS RETRIEVAL IN A NETWORK OF INFORMATION APPLIANCES

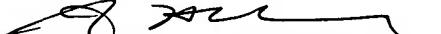
EXAMINER: Not yet known

GROUP ART UNIT: Not yet known

ATTY. DKT. NO.: 20412-06420

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: February 25, 2002By: 

Amir H. Raubvogel, Reg. No. 37,070

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR
  - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR

after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

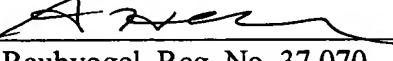
Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
Derek E. Poppink and Jonathan J. Hull

Dated: February 25, 2002

By:   
Amir H. Raubvogel, Reg. No. 37,070  
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PTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Department of Commerce Patent and Trademark Office		<i>Complete if Known</i>	
0002/PTO(modified) Rev. 10/2001		Application Number	10/046,447
<b>FEE TRANSMITTAL</b>		Filing Date	October 29, 2001
<b>TOTAL AMOUNT OF PAYMENT</b>		First Named Inventor	Derek E. Poppink
Subtotal (1) + Subtotal (2) + Subtotal (3) =		Group Art Unit	Not yet known
(\$ ) 0.00		Examiner Name	Not yet known
		Attorney Docket Number	20412-06420

## **FEE TRANSMITTAL**

**TOTAL AMOUNT OF PAYMENT**

**Subtotal (1) + Subtotal (2) + Subtotal (3) =** **(\$) 0.00**

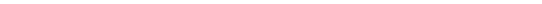
METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																															
<b>1. The Commissioner is hereby authorized to:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</li> <li><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup></li> <li><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</li> </ul>		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="3"></th> </tr> <tr> <th>Fee Code/Fee</th> <th>Fee Code/Fee</th> <th colspan="3">Fee Description</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td colspan="3">Surcharge - late filing fee or oath</td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td colspan="3">Surcharge-late provisional filing fee or cover sheet</td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td colspan="3">For filing a request for reexamination</td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td colspan="3">Extension for response within first month<sup>†</sup></td> </tr> <tr> <td>116/\$400</td> <td>216/\$200</td> <td colspan="3">Extension for response within second month<sup>†</sup></td> </tr> <tr> <td>117/\$920</td> <td>217/\$460</td> <td colspan="3">Extension for response within third month<sup>†</sup></td> </tr> <tr> <td>118/\$1,440</td> <td>218/\$720</td> <td colspan="3">Extension for response within fourth month<sup>†</sup></td> </tr> <tr> <td>128/\$1,960</td> <td>228/\$980</td> <td colspan="3">Extension for response within fifth month<sup>†</sup></td> </tr> <tr> <td>119/\$320</td> <td>219/\$160</td> <td colspan="3">Notice of Appeal</td> </tr> <tr> <td>141/\$1,280</td> <td>241/\$640</td> <td colspan="3">Petition to revive unintentionally abandoned application</td> </tr> <tr> <td>142/\$1,280</td> <td>242/\$640</td> <td colspan="3">Utility Issue Fee (Or Reissue)</td> </tr> <tr> <td>143/\$460</td> <td>243/\$230</td> <td colspan="3">Design Issue Fee</td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td colspan="3">Petitions to the Commissioner</td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td colspan="3">Submission of Information Disclosure Statement</td> </tr> <tr> <td>179/\$740</td> <td>279/\$370</td> <td colspan="3">Request for Continued Examination (RCE)</td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td colspan="3">Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>146/\$740</td> <td>246/\$370</td> <td colspan="3">Filing a submission after final rejection (37 CFR 1.129(a))</td> </tr> <tr> <td>149/\$740</td> <td>249/\$370</td> <td colspan="3">For each additional invention to be examined (37 CFR 1.129(b))</td> </tr> <tr> <td colspan="2"></td> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="2"></td> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="2"></td> <td colspan="3"></td> <td style="text-align: right;"><b>SUBTOTAL (3) (\$ 0.00)</b></td> </tr> <tr> <td colspan="2"> <b>2. 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TOTAL	minus* 20 or 0	=	x	=
INDEP	minus* 3 or 0	=	x	=
[ ]	First presentation of multiple dependent claim			

\* Subtract the greater number of Col. 2

<b>SUBMITTED BY</b>		Complete (if applicable)	
Type or Printed Name	Amir H. Raubvogel	Reg. Number	37,070
Signature		Date	February 25, 2002

20412/06420/DOCS/1247882.1

<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



~~0500~~ 0500  
O3CO +  
~~3~~

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PFG  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

Application Number

10/046,447

Filing Date

October 29, 2001

First Named Inventor

Derek E. Poppink

Group Art Unit Number

Not yet known

Examiner Name

Not yet known

Total Number of Pages in This Submission

7\*

Attorney Docket Number

20412-06420

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Formal Drawing(s):
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449	<input type="checkbox"/>
<input checked="" type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/>
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [ ] Page(s)	<input type="checkbox"/>
<input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS: \*Number of pages does not include cited references

### SIGNATURE OF ATTORNEY OR AGENT

Signature:		
Attorney/Reg. No.:	Amir H. Raubvogel, Reg. No. 37,070	Dated: February 25, 2002

### CERTIFICATE OF MAILING

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